

Luzerne
County

Pennsylvania • Established 1786



AMERICAN RESCUE PLAN ACT (ARPA) GRANT PROGRAM

Tuesday, September 27, 2022

6:00 pm ET

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BOOTH MANAGEMENT CONSULTING

LUZERNE COUNTY ARPA GRANT PROGRAM

Booth Management Consulting, LLC

- Robin Booth, CPA, Principal – In Person
- Marilyn Lockman, Grants Manager - Online



GRANT SUBRECIPIENT MONITORING

Key Regulations

**2 CFR, PART 200 - UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS
(REFERRED TO AS UNIFORM GUIDANCE)**

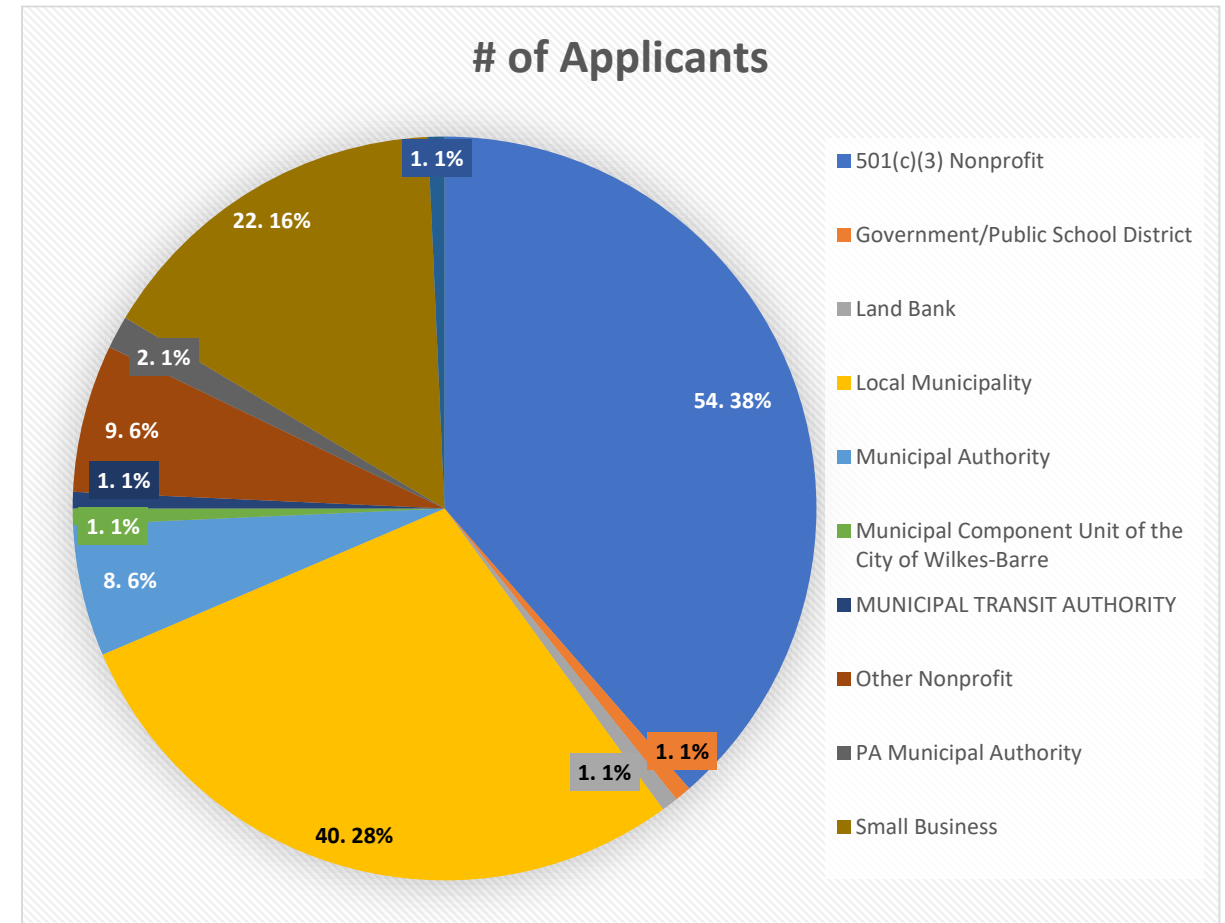
**AMERICAN RESCUE PLAN ACT OF 2021, (COVID-19 STIMULUS PACKAGE OR AMERICAN RESCUE PLAN), CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS, TREASURY FINAL RULE PUBLISHED JANUARY 6, 2022
(REFERRED TO AS ARPA)**



LUZERNE COUNTY ARPA GRANT PROGRAM

Notice of Funding Opportunity Applications

Organization Type	# of Applicants	Requested Funding
501(c)(3) Nonprofit	54	\$ 46,182,876.38
Government/Public School District	1	\$ 19,999,789.00
Land Bank	1	\$ 600,000.00
Local Municipality	40	\$ 90,156,652.59
Municipal Authority	8	\$ 22,577,650.00
Municipal Component Unit of the City of Wilkes-Barre	1	\$ 975,000.00
Municipal Transit Authority	1	\$ 161,000.00
Other Nonprofit	9	\$ 26,016,715.00
PA Municipal Authority	2	\$ 8,845,843.00
Small Business	22	\$ 3,846,336.00
Transit Authority	1	\$ 588,888.00
Grand Total	140	\$ 219,950,749.97

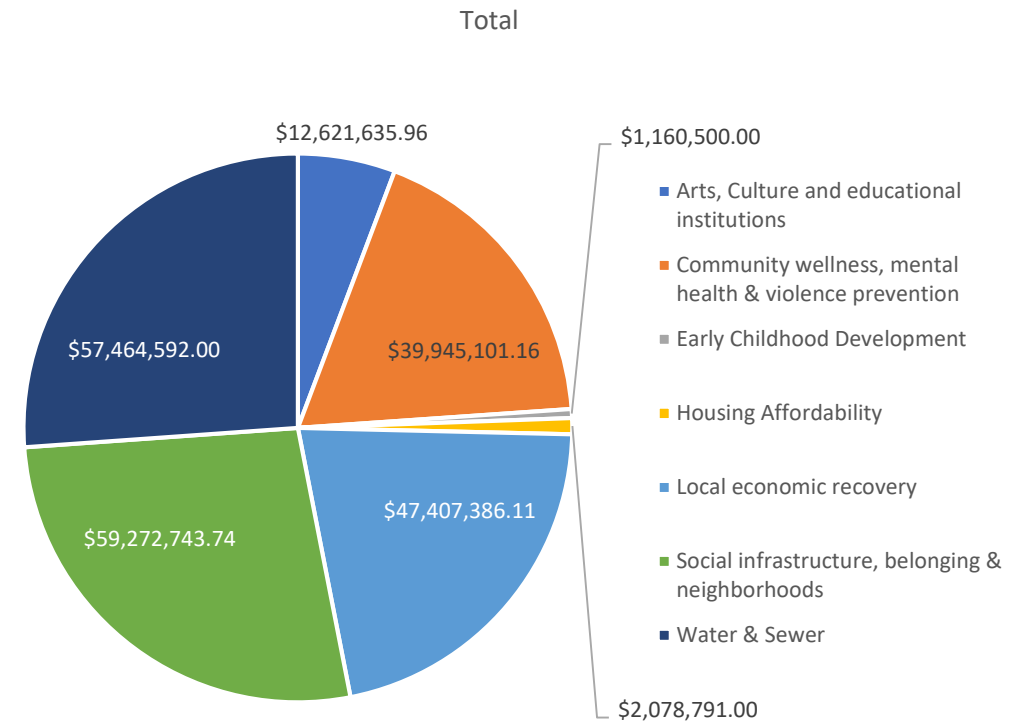


Note: Eligible Activity is based on client's selection in the application and are subject to final assessment.

LUZERNE COUNTY ARPA GRANT PROGRAM

Notice of Funding Opportunity Applications

Category	Funding Requested
Arts, Culture and Educational Institutions	\$ 12,621,635.96
Community Wellness, Mental Health & Violence Prevention	\$ 39,945,101.16
Early Childhood Development	\$ 1,160,500.00
Housing Affordability	\$ 2,078,791.00
Local Economic Recovery	\$ 47,407,386.11
Social Infrastructure, Belonging & Neighborhoods	\$ 59,272,743.74
Grand Total	\$ 219,950,749.97



Note: Eligible Activity is based on client's selection in the application and are subject to final assessment.

LUZERNE COUNTY ARPA GRANT PROGRAM

Internal Project Applications

Project Eligible Activity Category	Amount Requested
<input type="checkbox"/> Invest in water, sewer, and broadband infrastructure	\$ 9,960,000.00
County Wide Roadway Storm Water Improvements	\$ 9,960,000.00
Provide premium pay for eligible workers performing	
<input type="checkbox"/> essential work	\$ 2,032,903.00
Nanticoke Bridge Replacement Study	\$ 450,000.00
Personnel & Office Materials	\$ 1,582,903.00
<input type="checkbox"/> Support the COVID-19 public health and economic response	\$ 5,955,768.00
2023 Aerial Imagery Capture Project	\$ 225,000.00
Crisis Receiving and Stabilization Service (CRSS)	\$ 800,000.00
Door and Access Control Replacement	\$ 126,732.00
Enhancing Our Pandemic/Endemic Response Capabilities	\$ 127,855.00
HVAC System Replacements	\$ 1,500,000.00
Marketing to attract tourism in Luzerne County	\$ 200,000.00
P25 Digital Radio COVID-19 response and support	\$ 2,976,181.00
Grand Total	\$ 17,948,671.00

Note: Eligible Activity is based on client's selection in the application and are subject to final assessment.

LUZERNE COUNTY ARPA GRANT PROGRAM

Internal Project Applications Received

Project Eligible Activity Category by Department	Amount Requested
<input type="checkbox"/> Buildings and Grounds/ Operations	\$ 1,626,732.00
Door and Access Control Replacement	\$ 126,732.00
HVAC System Replacements	\$ 1,500,000.00
<input type="checkbox"/> Engineering	\$ 450,000.00
Nanticoke Bridge Replacement Study	\$ 450,000.00
<input type="checkbox"/> Luzerne County 9-1-1/Operational Services	\$ 2,976,181.00
P25 Digital Radio COVID-19 response and support	\$ 2,976,181.00
<input type="checkbox"/> Luzerne County District Attorney's Office	\$ 1,582,903.00
Personnel & Office Materials	\$ 1,582,903.00
<input type="checkbox"/> Luzerne County Emergency Management Agency	\$ 127,855.00
Enhancing Our Pandemic/Endemic Response Capabilities	\$ 127,855.00
<input type="checkbox"/> Luzerne County GIS/Mapping Department	\$ 225,000.00
2023 Aerial Imagery Capture Project	\$ 225,000.00
<input type="checkbox"/> Mental Health and Developmental Services	\$ 800,000.00
Crisis Receiving and Stabilization Service (CRSS)	\$ 800,000.00
<input type="checkbox"/> Road & Bridge	\$ 9,960,000.00
County Wide Roadway Storm Water Improvements	\$ 9,960,000.00
<input type="checkbox"/> Visit Luzerne County	\$ 200,000.00
Marketing to attract tourism in Luzerne County	\$ 200,000.00
Grand Total	\$ 17,948,671.00

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

- Multiple Phase Process

Phase	Description	BMC	Luzerne	Projected Start Date	Projected Completion Date
I	Initial Screening	X		9/15/2022	9/30/2022
II	Eligibility, Risk & Budget Assessment	X		10/1/2022	10/10/2022
III	Evaluation		X	10/11/2022	10/31/2022
IV	Notification of Award		X	11/2/2022	11/4/2022
V	Grant Execution	X		11/8/2022	12/5/2022

- Same process for NOFO and Internal applications.

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

PHASE I: INITIAL SCREENING

- Initial screening for completeness and compliance with application requirements
 - Required documents provided
 - Registered (or submitted registration) to SAM
 - Not on the state or Federal excluded party list
- September 30, 2022 – deadline for responding to Request for Information (RFI)
- Non-responsive applicants – notified that the applications will not be processed.
- Provide County with list of non-responsive applicants **BEFORE** notification is sent.

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

PHASE II – ELIGIBILITY, RISK, AND BUDGET ASSESSMENT

Assessment	Regulation	BMC Staff	Recommendation to Luzerne Evaluation Team
Eligibility	Final Rule	Grants Specialist	Eligible/Ineligible
Risk	§ 200.206 Uniform Guidance	Auditor	Risk Category (Low, Medium, High)
Budget	Subpart E Uniform Guidance	Auditor	Allowable budget costs

- Applicants will have up to 5 business days to respond to Request for Information (RFI)
 - 3 business days on initial request
 - 2 business days for final request
- Non-responsive applicants – notified that application will not be processed further.
- Projected Completion Date: October 17, 2022

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

PHASE III: EVALUATION

- Consists of Luzerne/Council only
- Must comply with Uniform Guidance, specifically
 - § 200.205 Federal awarding agency review of merit of proposals.
 - § 200.208 Specific conditions
 - § 200.214 Suspension and debarment.
 - Subpart E – Cost Principles
 - Subpart F – Audit Requirements, where applicable
- Evaluation Period – October 11, 2022 to October 31, 2022
- Subject to audit by Treasury and County auditors

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

PHASE IV: AWARD/NON-AWARD NOTIFICATIONS

- Recommended Final Determinations:
 - Award – ARPA Funding
 - Award – Loss Revenue
 - Non-Award
- Provide Council with final list prior to notifications
- Send notification letters to subrecipient – November 2, 2022 (BMC)
- Publish on Website – November 4, 2022 (Luzerne)

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

PHASE V: GRANT EXECUTION

- Period: November 8, 2022 – December 5, 2022
- Grant Execution Informational Session – Two (2) Webinars
- Implement the ARPA Compliance IT solution for awarded subrecipients
 - Awarded subrecipients will gain access to upload grant execution documents in system
 - County has real-time, dashboard access to all grants
 - Ability to provide County determined limited access to general public – “Read Only”

LUZERNE COUNTY ARPA GRANT PROGRAM

Application Processing

Grant Execution Document Name	Collected at Application?	Must be returned with Grant Execution documents?	Notes
Grant award letter	N/A	No	Award letter accompanies grant execution documents
Signed subrecipient agreement or beneficiary agreement		Yes	
Evidence of System for Award Management (SAM.gov) status with Unique Entity Identifier	Yes	Yes	Entities that did not submit at application time must submit at grant execution
IRS Form W-9	Yes	Revisions as necessary only	
Vendor Compliance Form	Yes	Revisions as necessary only	Used to collect information necessary to for County to prepare payment for awardees
Request for Advance for Reimbursement Form	Yes	Revisions as necessary only	
Certification statement that entity's financial management systems satisfy the requirements in applicable regulations at 2 C.F.R. Part 200.		Yes	Provided as Exhibit to Grant Agreement.
A copy of Subrecipient's single or program-specific audit		Yes	
Written Code of Conduct demonstrating compliance with 2 C.F.R § 200.318 (c) (Subrecipients only)		Yes	At a minimum, the Code of Conduct must address the following requirements listed in 2 C.F.R § 200.318 (c). Sample language is provided as Exhibit to Grant Agreement
Final Budget	Yes	Revisions as necessary only	Required ONLY if awarded amount is different than that requested/approved amount
Acknowledgement of Performance Metrics/special reporting requirements as applicable		Yes	Provided as Exhibit to Grant Agreement

LUZERNE COUNTY ARPA GRANT PROGRAM

Approved Council Projects

Expenditure Category	Approved Amount
2.23 Strong Healthy Communities: Demolition and Rehabilitation of Properties^	\$ 1,000,000.00
Renovation of vacant or abandoned properties	\$ 1,000,000.00
3.3 Public Sector Workforce: Other	\$ 2,220,004.00
Matching - PDoT funds	\$ 2,220,004.00
5.6 Clean Water: Stormwater	\$ 8,000,000.00
Wyoming Valley Flood Protection	\$ 8,000,000.00
6.1 Revenue Replacement	\$ 1,368,811.00
Inspection of Water Street Bridge	\$ 300,000.00
Matching - PDoT funds	\$ 168,811.00
Repairs roads and bridges	\$ 600,000.00
Repairs to roads and bridges	\$ 300,000.00
TBD	\$ 3,990,560.00
Luzerne County LCCF Projects	\$ 1,527,560.00
Various County IT projects	\$ 2,463,000.00
Grand Total	\$ 16,579,375.00
ARPA	\$ 11,220,004.00
Loss Revenue	\$ 5,359,371.00
TOTAL	\$ 16,579,375.00

LUZERNE COUNTY ARPA GRANT PROGRAM

Proposed ARPA Buckets

Community Assessment – Pre-Application vs. Application

- Analysis of eligible use categories for the 124 pre-applications vs. the 140 applications.
- Applications appear to be consistent with the Community Assessment from the pre-application process.

Eligible Use Category	Pre-Application Requested Amount	Application Requested Amount
Responding to Public Health and Economic Impacts of COVID-19 (EC 1,2)	\$ 98,856,707.08	\$ 161,829,762.97
Invest in water, sewer, and broadband infrastructure (EC 5)	\$ 42,663,012.00	\$ 57,334,987.00
Premium Pay	\$ -	\$ 786,000.00
Candidate for Revenue Loss	\$ 11,304,675.00	\$ -
TOTAL	\$ 152,824,394.08	\$ 219,950,749.97

LUZERNE COUNTY ARPA GRANT PROGRAM

Proposed ARPA Buckets

Community Assessment – Pre-Application vs. Application

- Analysis of eligible use categories for the 126 pre-applications vs. the 140 applications.
- Applications appear to be consistent with the Community Assessment from the pre-application process.

Eligible Use Category	Pre-Applications	Applications	Pre-Application Requested Amount	Application Requested Amount
	Number		Requested Funding	
Support the COVID-19 public health and economic response	94	100	\$ 98,856,707.08	\$ 161,829,762.97
Water & Sewer Infrastructure	32	36	\$ 42,663,012.00	\$ 57,334,987.00
Provide premium pay for eligible workers performing essential work	0	4	\$ -	\$ 786,000.00
Candidate for Revenue Loss	0	0	\$ 11,304,675.00	\$ -
Grand Total	126	140	\$ 152,824,394.08	\$ 219,950,749.97

LUZERNE COUNTY ARPA GRANT PROGRAM

Proposed ARPA Buckets

ARPA FUNDING ANALYSIS

Total ARPA Funding	\$ 112,890,152.00
Approved by Council (Elgiible and Ineligible)	\$ (16,579,375.00)
Balance to be Expended	\$ 96,310,777.00

TOTAL FUNDING REQUEST

Source	Requested Funding
Approved Council Projects	\$ 16,579,375.00
NOFO Applications	\$ 219,950,749.97
Internal Project Applications	\$ 17,948,671.00
Subtotal	\$ 254,478,795.97

LUZERNE COUNTY ARPA GRANT PROGRAM

Proposed ARPA Buckets

PROPOSED FUNDING BUCKETS AS A % OF THE ARPA FUNDING

Funding Bucket	Requested Funding	% of Total Funding
Approved Council Projects	\$ 16,579,375.00	15%
NOFO Applications	\$ 56,445,076.00	50%
Internal Project Applications	\$ 39,511,553.20	35%
Subtotal	\$ 112,536,004.20	100%

- Luzerne would determine the final % for the NOFO Applications and Internal Project funding buckets. The approved projects are based on actual %.

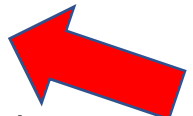
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Proposed ARPA Buckets

NOFO APPLICATIONS

ALLOCATION OF PROPOSED FUNDING BUCKET

Category	Funding Requested	% of Funding Requested	Recommended Bucket Funding
Social infrastructure, belonging & neighborhoods	\$ 59,272,743.74	27%	\$ 15,210,926
Water & Sewer	\$ 57,464,592.00	26%	\$ 14,746,907
Local economic recovery	\$ 47,407,386.11	22%	\$ 12,165,967
Community wellness, mental health & violence prevention	\$ 39,945,101.16	18%	\$ 10,250,951
Arts, Culture and educational institutions	\$ 12,621,635.96	6%	\$ 3,239,040
Housing Affordability	\$ 2,078,791.00	1%	\$ 533,472
Early Childhood Development	\$ 1,160,500.00	1%	\$ 297,814
Grand Total	\$ 219,950,749.97	100%	\$ 56,445,076.00



See the Proposed Funding Bucket on Slide 19. This is the allocation for the NOFO funding bucket.

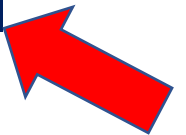
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Proposed ARPA Buckets

INTERNAL PROJECT APPLICATIONS ALLOCATION OF PROPOSED FUNDING BUCKET

Category	Funding Requested	% of Funding Requested	Recommended Bucket Funding
Social infrastructure, belonging & neighborhoods	\$ 59,272,743.74	27%	\$ 15,210,926
Water & Sewer	\$ 57,464,592.00	26%	\$ 14,746,907
Local economic recovery	\$ 47,407,386.11	22%	\$ 12,165,967
Community wellness, mental health & violence prevention	\$ 39,945,101.16	18%	\$ 10,250,951
Arts, Culture and educational institutions	\$ 12,621,635.96	6%	\$ 3,239,040
Housing Affordability	\$ 2,078,791.00	1%	\$ 533,472
Early Childhood Development	\$ 1,160,500.00	1%	\$ 297,814
Grand Total	\$ 219,950,749.97	100%	\$ 56,445,076.00

See the Proposed Funding Bucket on Slide 19. This is the allocation for the Internal Projects.



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*thank
you*